HE UNITED STATES PATENT AND TRADEMARK OFFICE Application Serial No.09/695,812 Filing DateOct 24, 2000 Confirmation No.4273 Group Art Unit2144 ExaminerTRAN, LAMBERT L Title: System and Method for Distributed Management of Shared Computers MAY 1 7 2004 INFORMATION DISCLOSURE STATEMENT **Technology Center 2100** The citations listed, copies attached, may be material to the examination of 9 the subject application and are therefore submitted in compliance with the duty of 10 11

disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Date: 5/10/04

Respectfully Submitted,

Allan T. Sponseller Reg. No. 38,318

1

12

13

14

15

16

17

18

19

20

21

22

23

24

25

		F	-1		_
Please type a plus sign	+) inside	this	box	~[<u>\$</u>
•	MAY			104	2

Substitute for m 1449A/PTO

Complete if Known					
Application Number	09/695,812				
Filing Date	Oct 24, 2000				
First Named Inventor	Hunt				
Group Art Unit	2144				
Examiner Name	TRAN, LAMBERT L				
Attorney Docket Number	MC1 E47US				

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) 2 Sheet of |MS1-547US **U.S. PATENT DOCUMENTS** U.S. Patent Document Date of Publication of Pages, Columns, Lines,

I	L_	U.S. Palent Document		Name of Patentee or Applicant	Date of Publication of	Where Relevant	
Examiner Initials*	Cite No. ¹	Number	Kind Code ²	of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	
						RECEIVED	
	,						
	· ·			w ·		MAY 1 7 2004	
j						Technology Center 2100	
,				***			
/							
					+		
			+				
			- -	· · · · · · · · · · · · · · · · · · ·			

				FOR	EIGN PATENT DOCUMENT	rs		
Examiner Initials*	Cite No.1	Office	Foreign Patent Do	Cument Kind Code ⁵ (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	7
			EP 1063815		Srinvasan	12/27/2000	···	\bot
							 	+
								+
								I
-					<u> </u>	 		╀
								1
								I

Examiner	Date
Signature	Considered

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

N		_
Please type a plus sign (+) inside this box	\rightarrow	+

Sheet

						_	
Substitute for form 1449B/PTO				Complete if Known			
		Application Number	09/695,812	\neg			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			ISCLOSURE	Filing Date	Oct 24, 2000		
			APPLICANT	First Named Inventor	Hunt		
STATEMENT BY APPLICANT		Group Art Unit	2144				
	(use as	many sheet	's as necessary)	Examiner Name	TRAN, LAMBERT L		
heet	2	of	2	Attorney Docket Number	MS1-547US		

		NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.							
		SOMERS; "HYBIRD: Unifying Centralised and Distributed Network Management using Intelligent Agents"; 04/15/1996; IEEE 1996; pp. 34-43.						
	,	SMITH, et al; "Distributed Management of Future Global Multi-Service Networks"; 8076 British Telecommunications Engineering; 10/13/1994; Pt. 3, London, GB;						
	1							
		RECEIVED						
<u>/ </u>		MAY 1 7 2004						
		Technology Center 2100						
			_					
	-		_					
Examiner Signature		Date Considered						

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

OTP E ACTOR NO. 1 3 2004 PARTIES TO PARTIES

Please type a plus sign (+) inside this box +

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Application Number

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Filing Date
Oct 24, 2000
MAY 1 7 2004

First Named Inventor
Group Art Unit
Examiner Name
TRAN, LAMBERT L

Attorney Docket Number
MS1-547US

09/695,81

•			ENCL	OSURES	(check	all that apply)
Fee Transmittal For	m			ent Papers pplication)		After Allowance Communication to Group
Fee Attache	d		Drawing	(s)	Sheets	Appeal Communication to Board of Appeals and Interferences
Amendment / Repl	у		Licensin	g-related Papers	;	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final			Petition			Proprietary Information
Affidavits/de	eclaration(s)		Provision	to Convert to a		Status Letter
Extension of Time f	Request		Change Address	f Attorney, Revo of Corresponder	cation nce	Other Enclosure(s) (please identify below):
Express Abandonment Request			Terminal Disclaimer Return Receipt Post Card 1449; (3) references		Return Receipt Post Card; PTO Form 1449; (3) references	
Information Disclos	Information Disclosure Statement		CD, Number of CD(s)			
Certified Copy of P Document(s)	riority	Rem	arks			
Response to Missin Incomplete Applica		22801				
	Missing Parts R 1.52 or 1.53					
	SIGNATU	JRE OI	F APPLIC	CANT, ATTOR	NEY, OR	AGENT
Firm				· · .		
or Individual name			r, Reg. No. 38,318			
Signature	AT.	5	¬			
Date	5/101	64	,			
		7				

CERT	IFICAT	E OF I	MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

Typed or printed name

Signature

Laurie S. Morgan

Date 5/10/04

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
the aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

E	E TR	AN	SMIT	ΓTAL
	for	FY	2004	1

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (

SUBMITTED BY

Name (Print/Type)

Signature

Allan T. Sponseller

C	omplete if Known		١
Application Number	09/695,812		Ln
Filing Date	Oct 24, 2000	RECEIV	上し
First Named Inventor	Hunt	MAY 1 7 20	ah 4
Examiner Name	TRAN, LAMBERT I	_ MAY I 7 ZC	1 04
Art Unit	2144	Technology Cent	l er 2100
Attorney Docket No.	MS1-547US	- 1601111010 B) . oon	<i>T</i>

(Complete (if applicable))

Telephone (509) 324-9256

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)
Check Credit card Money Other None	3. ADDITIONAL FEES
Order —	Large Entity Small Entity
✓ Deposit Account:	Fee Fee Fee Fee Fee Description
Deposit Account 12-0769	Code (\$) Code (\$) Fee Paid
Number	1051 130 2051 65 Surcharge - late filing fee or oath
Deposit Lee & Hayes, PLLC	1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet
Name	1053 130 1053 130 Non-English specification
The Director is authorized to: (check all that apply)	1812 2,520 1812 2,520 For filing a request for ex parte reexamination
Charge fee(s) indicated below Credit any overpayments	1804 920* 1804 920* Requesting publication of SIR prior to
Charge any additional fee(s) or any underpayment of fee(s)	Examiner action
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action
	1251 110 2251 55 Extension for reply within first month
FEE CALCULATION	1252 420 2252 210 Extension for reply within second month
1. BASIC FILING FEE Large Entity/Small Entity	1253 950 2253 475 Extension for reply within third month
Fee Fee Fee Fee Fee Description Fee Paid	1254 1,480 2254 740 Extension for reply within fourth month
Code (\$) 1001 770 2001 385 Utility filing fee	1255 2,010 2255 1,005 Extension for reply within fifth month
1001 770 2001 385 Utility filing fee 1002 340 2002 170 Design filing fee	1401 330 2401 165 Notice of Appeal
1003 530 2003 265 Plant filing fee	1402 330 2402 165 Filing a brief in support of an appeal
1004 770 2004 385 Reissue filing fee	1403 290 2403 145 Request for oral hearing
1005 160 2005 80 Provisional filing fee	1451 1,510 1451 1,510 Petition to institute a public use proceeding
	1452 110 2452 55 Petition to revive - unavoidable
SUBTOTAL (1) (\$) 0.00	1453 1,330 2453 665 Petition to revive - unintentional
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,330 2501 665 Utility issue fee (or reissue)
Fee from Ext <u>ra Claims below Fee Paid</u>	1502 480 2502 240 Design issue fee
Total Claims20** = X =	1503 640 2503 320 Plant issue fee
Independent Claims - 3** = X = =	1460 130 1460 130 Petitions to the Commissioner
Multiple Dependent	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)
Large Entity Small Entity	1806 180 1806 180 Submission of Information Disclosure Stmt
Fee Fee Fee Fee Description Code (\$)	8021 40 8021 40 Recording each patent assignment per property (times number of properties)
1202 18 2202 9 Claims in excess of 20	1809 770 2809 385 Filing a submission after final rejection
1201 86 2201 43 Independent claims in excess of 3	(37 ČFR 1.129(a))
1203 290 2203 145 Multiple dependent claim, if not paid	1810 770 2810 385 For each additional invention to be examined (37 CFR 1.129(b))
1204 86 2204 43 ** Reissue independent claims over original patent	1801 770 2801 385 Request for Continued Examination (RCE)
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application
SUBTOTAL (2) (\$) 0.00	Other fee (specify)
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

(Attorney/Agent)

38,318